

**Cost Basis Update Form
For Noncovered Shares**

Account Holder _____ Account No. _____

Use this form to add or change cost basis for noncovered securities. Please provide cost basis for open positions only. If applicable, adjust the cost basis to include commissions and fee, corporate actions and wash sales, and the return of capital or principal payment received. Share quantity should match the current share quantity in the account.

Please check the appropriate Action box.

Add new cost basis information OR Correct existing cost basis information

Action	Trade Date	Security Name	Symbol or Cusip	Quantity	Price Per Share	Cost
<input type="checkbox"/> Add <input type="checkbox"/> Correct						
<input type="checkbox"/> Add <input type="checkbox"/> Correct						
<input type="checkbox"/> Add <input type="checkbox"/> Correct						
<input type="checkbox"/> Add <input type="checkbox"/> Correct						
<input type="checkbox"/> Add <input type="checkbox"/> Correct						
<input type="checkbox"/> Add <input type="checkbox"/> Correct						
<input type="checkbox"/> Add <input type="checkbox"/> Correct						
<input type="checkbox"/> Add <input type="checkbox"/> Correct						
<input type="checkbox"/> Add <input type="checkbox"/> Correct						
<input type="checkbox"/> Add <input type="checkbox"/> Correct						
<input type="checkbox"/> Add <input type="checkbox"/> Correct						
<input type="checkbox"/> Add <input type="checkbox"/> Correct						
<input type="checkbox"/> Add <input type="checkbox"/> Correct						
<input type="checkbox"/> Add <input type="checkbox"/> Correct						
<input type="checkbox"/> Add <input type="checkbox"/> Correct						
<input type="checkbox"/> Add <input type="checkbox"/> Correct						

I authorize my IB to complete the updates as indicated above. I understand that if the positions were transferred from another firm and my IB receives a cost basis transfer statement with cost basis for the position provided, the cost will be overwritten.

X _____
Authorized Signature Print Name Date

The cost basis figures you provided are for informational purposes only and will not be reported to the IRS. We have not independently verified this information; therefore, the accuracy of the basis information provided to you cannot be guaranteed. Consult your tax advisor regarding your specific situation prior to using information for your tax reporting purposes.

For more details about cost basis and your securities, please refer to IRS publication 550 Investment Income and Expenses (Including Capital Gains and Losses) and IRS publication 551 Basis of Assets at www.irs.gov.