



Options Exercise Allocation Disclosure:

Order Routing & Execution Practices

The Securities and Exchange Commission requires that clients be advised in writing of the method used to allocate option exercise notices to customer accounts. Our Clearing Firm Hilltop Securities, handles all of our option assignments. Exercise assignment notices for contracts are allocated among customer short positions pursuant to a manual procedure which randomly selects from among all customer short positions, including positions established on the day of assignment, those contracts that are subject to exercise. All short positions are liable for assignment at any time. A more detailed description of their random allocation procedure is available upon request.

Characteristics and Risks of Standardized Options

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